

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant	:	Goddard, et al.
Appl. No.	:	10/063,578
Filed	:	May 3, 2002
For:	:	ANTIBODIES THAT BIND A PRO1158 POLYPEPTIDE
Examiner	:	Zachary C. Howard
Art Unit	:	1646

**REQUEST TO CORRECT INVENTORSHIP****Pursuant to 37 C.F.R. §1.48**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request, pursuant to 37 C.F.R. §1.48, to have Colin K. Watanabe reinstated as a named inventor in the above-identified patent application. Colin K. Watanabe is a citizen of the United States of America, residing in Moraga, California, and signed the original declaration submitted in this patent application at the time of filing. Applicants submit that the deletion of Colin K. Watanabe as an inventor was an error that occurred without deceptive intent.

The inventors of U.S. Patent Application No. 10/063,578 should be listed as follows:

Audrey Goddard  
J. Christopher Grimaldi  
Austin L. Gurney  
Colin K. Watanabe  
William I. Wood

Appl. No.: 10/063,578  
Filed: May 3, 2002

Included herewith are a Statement Confirming No Deceptive Intent on the part of the reinstated inventor pursuant to 37 C.F.R. § 1.48(2), a copy of the original inventor's declaration pursuant to 37 C.F.R. § 1.48(3), written consent from the Assignee pursuant to 37 C.F.R. § 1.48(5), a Statement Under 37 § CFR 3.73(b), a copy of the original Assignment executed by the added inventor, and the fee set forth in 37 C.F.R. § 1.17(i).

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: March 11, 2004

By:



Daniel Hart  
Registration No. 40,637  
Attorney of Record  
Customer No. 30,313  
(619) 235-8550

4813053:dmb  
012808

**COPY**  
 SEP 04 2007  
 COMBINED DECLARATION FOR PATENT APPLICATION  
 AND POWER OF ATTORNEY

**COPY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME**

the specification of which (check one)  is attached hereto or  was filed on  as Application Serial No.  and was amended on  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed	
<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

Number	Country	Day/Month/Year Filed
--------	---------	----------------------

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

**Please see attached Appendix A (Listing 87 U.S. Provisional Patent Applications)**

Application Ser. No.	Filing Date
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

**Please see attached Appendix B (Listing 20 U.S. applications and 27 PCT applications)**

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
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Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
----------------------	-------------	--------------------------------------

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.



09157

PATENT TRADEMARK OFFICE

Paul E. Rauch, Registration No. 38,591, CUSTOMER NUMBER: 28,442

Send correspondence to:

SEP 04 2007

Attn: Paul E. Rauch  
 Brinks, Hofer, Gilson & Lione  
 NBC Tower - Suite 3600  
 455 N. Cityfront Plaza Drive  
 Chicago, IL 60611-5599  
 Telephone: 312-321-4780  
 Facsimile: 312-321-4299  
 Email: prauch@brinkshofer.com

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 101 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

Dan L. Eaton

*Dan Eaton*

10/2/01

Date

Inventor's signature

Residence 45 Inverness Dr.  
 75 Knight Drive, San Rafael, CA 94901  
 OZ

Citizenship  
 US

Post Office Address

1 DNA Way  
 South San Francisco, CA 94080

Full name of second joint inventor, if any

Ellen Filvaroff

Inventor's signature

*Ellen Filvaroff*

10/1/01

Date

Residence

538 18th Avenue, San Francisco, CA 94121

Citizenship  
 US

Post Office Address

1 DNA Way  
 South San Francisco, CA 94080

Full name of third joint inventor, if any

Mary E. Geritsen

Inventor's signature

Residence

541 Parrott Drive, San Mateo, CA 94402

Citizenship  
 CA

Post Office Address

1 DNA Way  
 South San Francisco, CA 94080

Send correspondence to:

Attn: Paul E. Rauch  
Brinks, Hofer, Gilson & Lione  
NBC Tower - Suite 3600  
455 N. Cityfront Plaza Drive  
Chicago, IL 60611-5599  
Telephone: 312-321-4780  
Facsimile: 312-321-4299  
Email: praunch@brinkshofer.com

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

Dan L. Eaton

Inventor's signature

Date

Residence  
75 Knight Drive, San Rafael, CA 94901

Citizenship  
US

Post Office Address  
1 DNA Way  
South San Francisco, CA 94080

Full name of second joint inventor, if any

Ellen Filvaroff

Inventor's signature

Date

Residence  
538 18th Avenue, San Francisco, CA 94121

Citizenship  
US

Post Office Address  
1 DNA Way  
South San Francisco, CA 94080

Full name of third joint inventor, if any

Mary E. Gerfilsen

Inventor's signature

Date

Residence  
541 Parrott Drive, San Mateo, CA 94402

Citizenship  
CA

Post Office Address  
1 DNA Way  
South San Francisco, CA 94080

Oct 15, 2001

Full name of fourth joint inventor, if any

Audrey Goddard

Inventor's signature

Date

10/01/2001

Residence

110 Congo Street, San Francisco, CA 94131

Citizenship

CA

Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of fifth joint inventor, if any

Paul J. Godowski

Inventor's signature

Date

10/11/01

Residence

25 Orange Court, Hillsborough, CA 94010

Citizenship

US

Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of sixth joint inventor, if any

J. Christopher Grimaldi

Inventor's signature

Date

10/12/01

Residence

1434 36th Avenue, San Francisco, CA 94122

Citizenship

US

Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of seventh joint inventor, if any

Austin L. Gunney

Inventor's signature

Date

10/11/01

Residence

1 Debbie Lane, Belmont, CA 94002

Citizenship

US

Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of eighth joint inventor, if any

Colin K. Watanabe

Inventor's signature

Date

10.2.2001

Residence

128 Corliss Drive, Moraga, CA 94556

Citizenship

US

Post Office Address

1 DNA Way  
South San Francisco, CA 94080

Full name of ninth joint inventor, if any

William I. Wood

Inventor's signature

Date

10/3/01

Residence

35 Southdown Court, Hillsborough, CA 94010

Citizenship

US

Post Office Address

1 DNA Way  
South San Francisco, CA 94080

**APPENDIX A**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

1. 60/063435 Filed 10/29/97
2. 60/064215 Filed 10/29/97
3. 60/082797 Filed 4/22/98
4. 60/083495 Filed 4/29/98
5. 60/085579 Filed 5/15/98
6. 60/087759 Filed 6/2/98
7. 60/088021 Filed 6/4/98
8. 60/088029 Filed 6/4/98
9. 60/088030 Filed 6/4/98
10. 60/088734 Filed 6/10/98
11. 60/088740 Filed 6/10/98
12. 60/088811 Filed 6/10/98
13. 60/088824 Filed 6/10/98
14. 60/088825 Filed 6/10/98
15. 60/088863 Filed 6/11/98
16. 60/089105 Filed 6/12/98
17. 60/089514 Filed 6/16/98
18. 60/089653 Filed 6/17/98
19. 60/089952 Filed 6/19/98
20. 60/090246 Filed 6/22/98
21. 60/090444 Filed 6/24/98
22. 60/090688 Filed 6/25/98
23. 60/090696 Filed 6/25/98
24. 60/090862 Filed 6/26/98
25. 60/091628 Filed 7/2/98
26. 60/096012 Filed 8/10/98
27. 60/096757 Filed 8/17/98
28. 60/096949 Filed 8/18/98
29. 60/096959 Filed 8/18/98
30. 60/097954 Filed 8/26/98
31. 60/097971 Filed 8/26/98
32. 60/097979 Filed 8/26/98
33. 60/098749 Filed 9/1/98
34. 60/099741 Filed 9/10/98
35. 60/099763 Filed 9/10/98
36. 60/099792 Filed 9/10/98
37. 60/099812 Filed 9/10/98
38. 60/099815 Filed 9/10/98
39. 60/100627 Filed 9/16/98
40. 60/100662 Filed 9/16/98
41. 60/100683 Filed 9/17/98
42. 60/100684 Filed 9/17/98
43. 60/100930 Filed 9/17/98
44. 60/101279 Filed 9/22/98
45. 60/101475 Filed 9/23/98

46.	60/101738	Filed 9/24/98
47.	60/101743	Filed 9/24/98
48.	60/101916	Filed 9/24/98
49.	60/102570	Filed 9/30/98
50.	60/103449	Filed 10/6/98
51.	60/103678	Filed 10/8/98
52.	60/103679	Filed 10/8/98
53.	60/103711	Filed 10/8/98
54.	60/105000	Filed 10/20/98
55.	60/105002	Filed 10/20/98
56.	60/105881	Filed 10/27/98
57.	60/106030	Filed 10/28/98
58.	60/106464	Filed 10/30/98
59.	60/106856	Filed 11/3/98
60.	60/108807	Filed 11/17/98
61.	60/112419	Filed 12/15/98
62.	60/112422	Filed 12/15/98
63.	60/112853	Filed 12/16/98
64.	60/113011	Filed 12/16/98
65.	60/112854	Filed 12/16/98
66.	60/113300	Filed 12/22/98
67.	60/113408	Filed 12/22/98
68.	60/113430	Filed 12/23/98
69.	60/113621	Filed 12/23/98
70.	60/114223	Filed 12/30/98
71.	60/115614	Filed 1/12/99
72.	60/116527	Filed 1/20/99
73.	60/116843	Filed 1/22/99
74.	60/119285	Filed 2/9/99
75.	60/119287	Filed 2/9/99
76.	60/119525	Filed 2/10/99
77.	60/119549	Filed 2/10/99
78.	60/120014	Filed 2/11/99
79.	60/129122	Filed 4/13/99
80.	60/129674	Filed 4/16/99
81.	60/131291	Filed 4/27/99
82.	60/138387	Filed 6/9/99
83.	60/144791	Filed 7/20/99
84.	60/169495	Filed 12/7/99
85.	60/175481	Filed 1/11/00
86.	60/191007	Filed 3/21/00
87.	60/199397	Filed 4/25/00

**APPENDIX B**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below:

**US APPLICATIONS**

1. 09/380139 Filed 8/25/98
2. 09/311832 Filed 5/14/99
3. 09/380137 Filed 8/25/99 ABD
4. 09/380138 Filed 8/25/99 ABD
5. 09/380142 Filed 8/25/99 ABD
6. 09/397342 Filed 9/15/99
7. 09/403297 Filed 10/18/99 ABD
8. 09/423844 Filed 11/12/99 ABD
9. 09/644848 Filed 8/22/00
10. 09/665350 Filed 9/18/00
11. 09/664610 Filed 9/18/00 ABD
12. 09/709238 Filed 11/8/00
13. 09/747259 Filed 12/20/00
14. 09/816744 Filed 3/22/01
15. 09/854208 Filed 5/10/01
16. 09/854280 Filed 5/10/01
17. 09/870574 Filed 5/30/01
18. 09/874503 Filed 6/5/01
19. 09/869599 Filed 6/29/01
20. 09/908,827 Filed 7/18/01

**PCT APPLICATIONS**

1. PCT/US98/19330 Filed 9/16/98
2. PCT/US99/05028 Filed 3/8/99
3. PCT/US99/10733 Filed 5/14/99
4. PCT/US99/12252 Filed 6/2/99
5. PCT/US99/20111 Filed 9/1/99
6. PCT/US99/21090 Filed 9/15/99
7. PCT/US99/21194 Filed 9/15/99
8. PCT/US99/30720 Filed 12/22/99
9. PCT/US00/04341 Filed 2/18/00
10. PCT/US00/04342 Filed 2/18/00
11. PCT/US00/04414 Filed 2/22/00
12. PCT/US00/05601 Filed 3/1/00
13. PCT/US00/08439 Filed 3/30/00
14. PCT/US00/14042 Filed 5/22/00
15. PCT/US00/15264 Filed 6/2/00
16. PCT/US00/23522 Filed 8/23/00
17. PCT/US00/23328 Filed 8/24/00
18. PCT/US00/30873 Filed 11/10/00
19. PCT/US00/32378 Filed 12/1/00
20. PCT/US00/34956 Filed 12/20/00
21. PCT/US01/06520 Filed 2/28/01

22.	PCT/US01/06666	Filed 3/1/01
23.	PCT/US01/17443	Filed 5/30/01
24.	PCT/US01/17800	Filed 6/1/01
25.	PCT/US01/19692	Filed 6/20/01
26.	PCT/US01/21066	Filed 6/29/01
27.	PCT/US01/21735	Filed 7/9/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Goddard, et al.  
Appl. No. : 10/063,578  
Filed : May 3, 2002  
For : ANTIBODIES THAT BIND A  
PRO1158 POLYPEPTIDE  
Examiner : Zachary C. Howard  
Group Art Unit : 1646

STATEMENT CONFIRMING NO DECEPTIVE INTENT

Pursuant to 37 C.F.R. §1.48

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The inventorship error of deleting Colin K. Watanabe as an inventor in the above-referenced patent application occurred without any deceptive intent on the part of Colin K. Watanabe.

Signed this 30 day of Jan, 2008 by Colin K. Watanabe  
Colin K. Watanabe

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Goddard, et al.
Appl. No.	:	10/063,578
Filed	:	May 3, 2002
For	:	ANTIBODIES THAT BIND A PRO1158 POLYPEPTIDE
Examiner	:	Zachary C. Howard
Group Art Unit	:	1646

STATEMENT FROM ASSIGNEE

Pursuant to 37 C.F.R. §1.48

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby assert that I am authorized to act on behalf of the assignee, Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080, the owner of the entire right, title and interest in the above-reference patent application (see attached Statement under 37 C.F.R. § 3.73(b)). I further hereby assert that the assignee agrees to the inventorship change in the application to reinstate Colin K. Watanabe as a named inventor.

Signed this 4 day of Feb., 2008 by \_\_\_\_\_

Name: Miles T. Wilf

Title: \_\_\_\_\_

GENENTECH, Inc.  
1 DNA Way  
South San Francisco, CA 94080



COPY

RECEIVED  
JULY 18 2002  
PATENT  
TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :	Eaton et al.	) Group Art Unit Unknown
Appl. No. :	Listed Below	) I hereby certify that this correspondence and all unmarked attachments are being deposited with the United States Post Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on
Filed :	Listed Below	) <u>July 2, 2002</u>
For :	SECRETED AND TRANSMEMBRANE POLYPETIDES AND NUCLEIC ACIDS ENCODING THE SAME	) (Date) <i>Adeel S. Akbar</i> Adeel S. Akbar, Reg. No. 41,394
Examiner :	UNKNOWN	)

REVOCATION AND POWER OF ATTORNEY AND CHANGE OF ADDRESS

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The original assignment is recorded at Reel 012377 and Frame 0266 and a new assignment is recorded at Reel 012643 and Frame 0431. This Assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints Elizabeth M. Barnes, Reg. No. 35,059 and other registrants of Customer No. 09157 as its attorneys and the registrants of Knobbe, Martens, Olson & Bear, LLP, 620 Newport Center Drive, Sixteenth Floor, Newport Beach, California 92660, Telephone

App. No. : Listed Below  
Filed : Listed Below

(619) 235-8550, Customer No. 30, 313, as its associate attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please correct the address for all future communications relative to the below referenced pending patent application to the following address:

AnneMarie Kaiser  
Knobbe Martens Olson & Bear  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
Telephone: 619-235-8550

Appln. No.	Appln. Date	Docket No.
10/006867	Dec 06 2001	P3230R1C001
10/063502	Apr 30 2002	P3230R1C002
10/063510	May 01 2002	P3230R1C003
10/063512	May 01 2002	P3230R1C004
10/063513	May 01 2002	P3230R1C005
10/063514	May 01 2002	P3230R1C006
10/063515	May 01 2002	P3230R1C007
10/063516	May 01 2002	P3230R1C008
10/063517	May 01 2002	P3230R1C009
10/063518	May 01 2002	P3230R1C010
10/063519	May 01 2002	P3230R1C011
10/063520	May 01 2002	P3230R1C012
10/063521	May 01 2002	P3230R1C013
10/063523	May 02 2002	P3230R1C014
10/063524	May 02 2002	P3230R1C015
10/063525	May 02 2002	P3230R1C016
10/063526	May 02 2002	P3230R1C017
10/063527	May 02 2002	P3230R1C018
10/063528	May 02 2002	P3230R1C019
10/063529	May 02 2002	P3230R1C020
10/063530	May 02 2002	P3230R1C021
10/063532	May 02 2002	P3230R1C022
10/063534	May 02 2002	P3230R1C023
10/063536	May 02 2002	P3230R1C024
10/063537	May 02 2002	P3230R1C025
10/063538	May 02 2002	P3230R1C026
10/063540	May 02 2002	P3230R1C027
10/063541	May 02 2002	P3230R1C028
10/063544	May 02 2002	P3230R1C029

**Appl. No.** : **Listed Below**  
**Filed** : **Listed Below**

<b>Appln. No.</b>	<b>Appln. Date</b>	<b>Docket No.</b>
10/063545	May 02 2002	P3230R1C030
10/063546	May 02 2002	P3230R1C031
10/063547	May 02 2002	P3230R1C032
10/063548	May 02 2002	P3230R1C033
10/063549	May 02 2002	P3230R1C034
10/063551	May 02 2002	P3230R1C035
10/063553	May 02 2002	P3230R1C036
10/063554	May 02 2002	P3230R1C037
10/063555	May 02 2002	P3230R1C038
10/063557	May 02 2002	P3230R1C039
10/063560	May 02 2002	P3230R1C040
10/063561	May 02 2002	P3230R1C041
10/063562	May 02 2002	P3230R1C042
10/063563	May 02 2002	P3230R1C043
10/063564	May 02 2002	P3230R1C044
10/063565	May 02 2002	P3230R1C045
10/063566	May 02 2002	P3230R1C046
10/063567	May 02 2002	P3230R1C047
10/063568	May 02 2002	P3230R1C048
10/063569	May 02 2002	P3230R1C049
10/063570	May 02 2002	P3230R1C050
10/063577	May 03 2002	P3230R1C051
10/063578	May 03 2002	P3230R1C052
10/063579	May 03 2002	P3230R1C053
10/063580	May 03 2002	P3230R1C054
10/063581	May 03 2002	P3230R1C055
10/063582	May 03 2002	P3230R1C056
10/063583	May 03 2002	P3230R1C057
10/063584	May 03 2002	P3230R1C058
10/063585	May 03 2002	P3230R1C059
10/063586	May 03 2002	P3230R1C060
10/063587	May 03 2002	P3230R1C061
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10/063589	May 03 2002	P3230R1C063
10/063591	May 03 2002	P3230R1C064
10/063592	May 03 2002	P3230R1C065
10/063593	May 03 2002	P3230R1C066
10/063594	May 03 2002	P3230R1C067
10/063595	May 03 2002	P3230R1C068
10/063596	May 03 2002	P3230R1C069
10/063597	May 03 2002	P3230R1C070
10/063598	May 03 2002	P3230R1C071
10/063599	May 03 2002	P3230R1C072
10/063600	May 03 2002	P3230R1C073
10/063602	May 03 2002	P3230R1C074
10/063604	May 03 2002	P3230R1C075
10/063606	May 03 2002	P3230R1C076

Appl. No. : Listed Below  
 Filed : Listed Below

Appln. No.	Appln. Date	Docket No.
10/063607	May 03 2002	P3230R1C077
10/063609	May 03 2002	P3230R1C078
10/063610	May 03 2002	P3230R1C079
10/063611	May 03 2002	P3230R1C080
10/063612	May 03 2002	P3230R1C081
10/063614	May 03 2002	P3230R1C082
10/063615	May 03 2002	P3230R1C083
10/063616	May 03 2002	P3230R1C084
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**Appl. No.** : **Listed Below**  
**Filed** : **Listed Below**

<b>Appln. No.</b>	<b>Appln. Date</b>	<b>Docket No.</b>
10/063688	May 08 2002	P3230R1C124
10/063689	May 08 2002	P3230R1C125
10/063692	May 08 2002	P3230R1C126
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10/063745	May 09 2002	P3230R1C168

Appl. No. : Listed Below  
Filed : Listed Below

Please use Customer No. 30, 313 for all communications.

Respectfully submitted,

GENENTECH, INC.

Dated: 6-25-02

By: Mark Kresnak

Mark Kresnak  
Registration No. 42,767

Title: Section Patent Counsel

Address: 1 DNA Way  
South San Francisco, CA 94080

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FEBRUARY 08, 2002

PTAS

GENENTECH, INC.

ELIZABETH M. BARNES, PH.D.

1 DNA WAY

SOUTH SAN FRANCISCO, CA 94080

Under Secretary of Commerce For Intellectual Property and  
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GENENTECH, INC.  
UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/06/2001

REEL/FRAME: 012377/0266  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR: EATON, DAN L.	DOC DATE: 10/02/2001
ASSIGNOR: FILVAROFF, ELLEN	DOC DATE: 10/01/2001
ASSIGNOR: GERRITSEN, MARY E.	DOC DATE: 10/15/2001
ASSIGNOR: GODDARD, AUDREY	DOC DATE: 10/01/2001
ASSIGNOR: GODOWSKI, PAUL J.	DOC DATE: 10/01/2001
ASSIGNOR: GRIMALDI, J. CHRISTOPHER	DOC DATE: 10/02/2001
ASSIGNOR: GURNEY, AUSTIN L.	DOC DATE: 10/01/2001

012377/0266 PAGE 2

ASSIGNOR: WATANABE, COLIN K. DOC DATE: 10/02/2001

ASSIGNOR: WOOD, WILLIAM I. DOC DATE: 10/03/2001

ASSIGNEE:  
GENENTECH, INC.  
1 DNA WAY  
SOUTH SAN FRANCISCO, CALIFORNIA

94080-4990

SERIAL NUMBER: 10006867 FILING DATE: 12/06/2001  
PATENT NUMBER: ISSUE DATE:

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12-21-2001

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Atty Dkt No: P3230R1C1

12/01/01

Assistant Commissioner

101922805

nts or copy thereof.12/01/01  
1006867  
12/01/01

## 1. Name of conveying party(ies):

Dan L. Eaton; Ellen Filvaroff; Mary E. Gerritsen;  
 Audrey Goddard, Paul J. Godowski; J. Christopher  
 Grimaldi; Austin L. Gurney; Colin K. Watanabe;  
 William L. Wood

Additional name(s) of conveying party(ies) attached? Yes  No

## 2. Name and address of receiving party(ies):

Name: GENENTECH, INC.

Internal Address:

Street Address: 1 DNA WayCity: South San Francisco State: CaliforniaZIP: 94080-4990Additional name(s) & address(es) attached? Yes  No

## 3. Nature of conveyance:

 Assignment

Execution Date: 10/02/01; 10/01/01; 10/15/01; 10/01/01;  
10/01/01; 10/02/01; 10/01/01; 10/02/01 & 10/03/01,  
respectively.

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 15, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes  No

10/606867

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Elizabeth M. Barnes, Ph.D.  
 GENENTECH, INC.  
 1 DNA Way  
 South San Francisco, CA 94080

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

Enclosed

 Authorized to be charged to deposit account8. Deposit account number:  
07-0630

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elizabeth M. Barnes, Ph.D.,  
 Name of Person Signing  
 Registration No. 35,059

December 6, 2001  
Date

12/21/2001 DBYRNE 00000017 070630 1006867

Total number of pages comprising cover sheet, attachments and document: 4

IL FC:581 40.00 CH

A S S I G N M E N T

WHEREAS,  
Dan L. Eaton, a citizen of US, residing at 75 Knight Drive, San Rafael, CA 94901, and  
Ellen Filvaroff, a citizen of US, residing at 538 18<sup>th</sup> Avenue, San Francisco, CA 94121, and  
Mary E. Gerritsen, a citizen of CA, residing at 541 Parrott Drive, San Mateo, CA 94402, and  
Audrey Goddard, a citizen of CA, residing at 110 Congo Street, San Francisco, CA 94131, and  
Paul J. Godowski, a citizen of US, residing at 25 Orange Court, Hillsborough, CA 94010, and  
J. Christopher Grimaldi, a citizen of US, residing at 1434 36<sup>th</sup> Ave., San Francisco, CA 94122, and  
Austin L. Gurney, a citizen of US, residing at 1 Debbie Lane, Belmont, CA 94002, and  
Colin K. Watanabe, a citizen of US, residing at 128 Corliss Drive, Moraga, CA 94556, and  
William I. Wood, a citizen of US residing at 35 Southdown Court, Hillsborough, CA 94010  
(hereinafter "ASSIGNORS"), have invented a new and useful invention in

**SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND  
NUCLEIC ACIDS ENCODING THE SAME**

for which an application is being filed herewith (Docket No. P3230R1C1); and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC., to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.

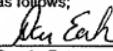
The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows:

South San Francisco

Dated: 10/2/01

  
Dan L. Eaton

South San Francisco

Dated: 10/1/01

  
Ellen Filvaroff

South San Francisco

Dated: \_\_\_\_\_

Mary E. Gerritsen

South San Francisco

Dated: 10/1/01

  
Audrey Goddard

South San Francisco

Dated: 10/1/01

  
Paul J. Godowski

South San Francisco

Dated: 10/2/01

  
J. Christopher Grimaldi

South San Francisco

Dated: 10/1/01

  
Austin L. Gurney

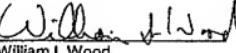
South San Francisco

Dated: 10/2/01

  
Colin K. Watanabe

South San Francisco

Dated: 10/3/01

  
William I. Wood

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows:

South San Francisco

Dan L. Eaton

Dated: \_\_\_\_\_

South San Francisco

Ellen Filvaroff

Dated: \_\_\_\_\_

South San Francisco

  
Mary E. Geritsen

Dated: Oct 15, 2001

South San Francisco

Audrey Goddard

Dated: \_\_\_\_\_

South San Francisco

  
Paul J. Godowski

Dated: \_\_\_\_\_

South San Francisco

  
J. Christopher Grimaldi

Dated: \_\_\_\_\_

South San Francisco

  
Austin L. Gurney

Dated: \_\_\_\_\_

South San Francisco

  
Colin K. Watanabe

Dated: \_\_\_\_\_

South San Francisco

  
William I. Wood

Dated: \_\_\_\_\_